

致：富力鑫證券有限公司
To：Fulixin Securities Limited

地址：香港灣仔港灣道 26 號華潤大廈 42 樓 4205-10 室
Address: Room 4205-10, 42/F, China Resources Building
26 Harbour Road, Wanchai, Hong Kong

電話號碼 Tel No. : (852) 2208 7800 (852) 2208 7802, 傳真號碼 Fax No. : (852) 2521 7700

日期 Date : _____

投資者/股票交收指示表格

Investor/Securities Settlement Instruction (I.S.I. / S.I.) Form

客戶名稱
Account Name : _____

戶口號碼
Account Number : _____

本人/吾等特此通知貴公司
I/We hereby instruct your Company to :

將下列股票存入本人/吾等之證券戶口，
RECEIVE the following securities for my/our securities account

由本人/吾等之證券戶口提取下列股票
DELIVER the following securities for my/our securities account

並透過中央結算及交收系統，按以下內容執行交收指示
Via Central Clearing and Settlement System (CCASS) to effect the settlement in accordance with the following details:

對手經紀名稱
Name of Counter Party : _____

交收日期
Settlement Date : _____

投資者中央結算/對手經紀代號 : _____
Investor CCASS/Counter-party LD. No.

聯系人 : _____
Contact Person

電話號碼 : _____
Tel No.

交收指示目的 : _____ C / L / P / M (C = Broker-Custodian transaction 經紀與托管商之間的交易，L = Stock borrowing/Lending 借股/貸股，
Purpose of SI P = Stock pledging 按股，M = Portfolio movement 證券組合轉移)

交付指示 : _____ (Y = YES 需要，N = NO 不需要)
DI Required

付款指示 Payment Instruction :-

無須付款 Free of Payment (FOP)

付予港幣 Against payment (DVP)

股票號碼 Stock Code	股票名稱 Stock Name	股票數目 No. of Shares	轉倉款項 (港幣) SI/ISI Payment (HKD)	職員專用 For Office Only Remarks

本人/吾等確認上述股票的實益擁有權並沒有因進行本交收指示而出現任何變動。本人/吾等亦承諾就本交收指示所可能令 貴公司擊受或承擔的任何費用或開支，當中包括但不限於印花稅，向貴公司作出彌償及使之獲得彌償。

I/We confirm that there is no change in beneficial ownership through effecting this settlement instruction. I/We also undertake to indemnify and keep your Company indemnified in respect of any costs and expense, including but not limited to stamp duty, whatsoever which may be suffered or incurred by you in connected with this settlement instruction.

根據客戶電話指示
According to customer's phone instruction

錄音電話號碼
Record Phone No. : _____
日期及時間
Date & time : _____

SIGN HERE

客戶簽署 (請用留存本公司之印鑑簽署)
Client's Signature (s) (Please use signature(s)/chop(s) filed with Company)

經紀簽署
Requested by AE (_____)

公司專用 For Office Use Only		
AE/Branch Code	Request Received on :	Signature Verified by :
CCASS Input by :	CCASS Authorized by :	BOS Input by :